

Bureau of Developmental Services: He-M 1201
Provider Agency Instructions for Completion of Form 1201-B

This form is required by He-M 1201-Administration of Medications in Developmental Services Programs. It is to be completed by entities under contract with an area agency and sent to the area agency two times per year pursuant to He-M 1201.11. The intent of the regulations is that it be completed from a programmatic/supervisory position. If an area agency provides their own services, please include those respective 1201 A reports on a B form from the programmatic/supervisory arrangement.

The form is designed to generate summary statements regarding each entity's oversight of healthcare coordination and safe medication administration. The information entered onto the form will be generated through compilation of all the Form 1201-A's submitted by the Nurse Trainer.

Please read the following instructions carefully before filling out the form and please complete all items. **Indicate "0", "none" or "N/A" when applicable.**

Please do not leave any sections blank.

Region: Please indicate the region reporting at the top of the form.

1. **Sub-contracted Provider Agency Name:** Enter the name of the sub-contracted provider agency, including address, contact name, and contact information.
2. **Number of Certified Programs by Type:** Please indicate the number of certified programs by certification type.
3. **Report Period Dates:** Enter the dates for the reporting period pursuant to He-M 1201.
4. **Total number of doses administered:** Enter the total number of doses administered within each provider agency by adding the total doses administered for all 1201-A Forms.
5. **Total number of providers authorized:** Indicate the number of providers who are presently authorized to administer medications within each provider agency.
6. **Number of psychotropic medications prescribed:** Indicate how many psychotropic medications were prescribed for individuals living in the residences during the reporting period, including PRNs.
7. **Number of individuals receiving medication from authorized providers:** Enter the number of individuals who are receiving certified services and have medications administered to them by licensed or authorized staff.
8. **Number of individuals identified to be in frail health.** Enter the number of individuals living who are receiving certified services and considered to be in frail health.

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9. **Number of medication errors that resulted in medical treatment (DD):** An error that may have contributed to or resulted in temporary harm to the individual with developmental disability and required intervention beyond advice.
10. **Number of medication errors that resulted in medical treatment (ABD):** An error that may have contributed to or resulted in temporary harm to the individual with acquired brain disorder and required intervention beyond advice.
11. **Number of individuals receiving psychotropic medications:** Enter the number of individuals who are receiving psychotropic medications from the 1201A and 1201A Short Forms.

Summary of Number and Type of Medication Occurrences for this Provider Agency

Total the number by type of medication administration errors by utilizing the data provided on the 1201-A forms.

- **Error to dosage ratio:** Divide the number of errors by the number of doses.

Significant Changes to Individuals' Health Status and Actions Taken

Please describe significant health changes individuals have experienced and actions taken by the provider agency to ensure individual's health care needs are met.

Identified Trends and Summary of the Providers Agency's Corrective Action Plan

Please read the 1201A Reports to identify any trends in medication administration errors and general trends noted regarding individuals' health status.

Patterns of Non-compliance, if any, and Corrective Action

Please comment on any patterns of non-compliance identified by the Nurse Trainer and include the provider agency's plan of correction.

Provider Agency Plan for Monitoring, Oversight and Quality Improvement

Summarize the provider agency's plan for ensuring that the corrective actions taken by the Nurse Trainer are appropriate and designed to address trends/systemic issues or breaches in medication administration systems such that individuals' risk for future errors is minimized or eliminated. Also summarize the provider agency's plan for healthcare oversight.

Other Concerns

Please identify any initiatives or proactive measures taken to improve the individual's functional abilities or address any other concerns.

Please print and have the **Provider Agency Director or designee (cannot be the nurse trainer) sign and date** the 1201-B form. We are unable to accept electronic signatures on the 1201 forms at this time.